

CLAIMS

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We Claim:

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1. A process for producing a chiral, non-racemic ester of Formula I using a hydrolase enzyme:

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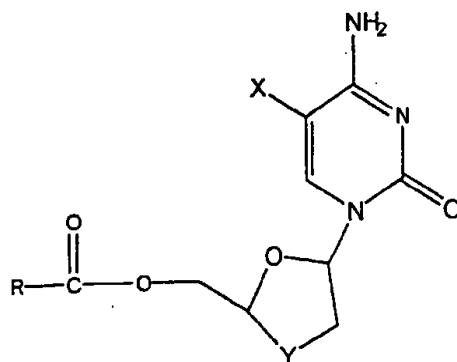
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Formula I

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wherein:

R is C<sub>1</sub>-C<sub>8</sub> alkyl, alkenyl, or alkynyl;

X = H, or F;

Y = CH<sub>2</sub>, O, S, Se, or NH;

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said process comprising the steps of:

- (a) dispersing an enantiomeric mixture of an ester of Formula I at a concentration of between about 1 and about 25% (weight/volume of the non-homogeneous system), in an organic solvent system to produce an organic component;

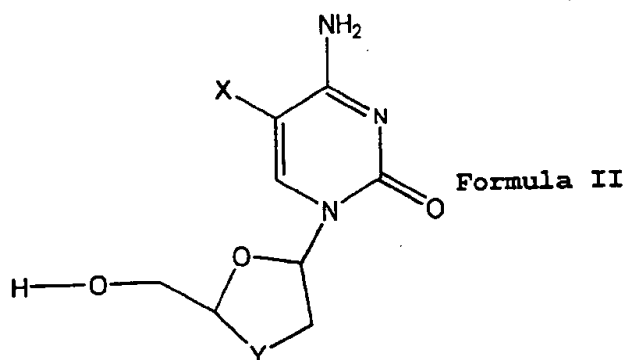
- (b) providing an aqueous solvent system to produce an aqueous component; and

- (c) contacting said organic component and said aqueous component to form a non-homogeneous system, under conditions which permit the resolution of the mixture to produce a chiral non-racemic ester of Formula I and a non-racemic alcohol of Formula II;

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wherein:

X = H, or F;

Y = CH<sub>2</sub>, O, S, Se, or NH, and

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wherein said hydrolase enzyme is dispersed in either said organic component, said aqueous component or said non-homogeneous system.

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10 2. A process for producing a chiral, non-racemic hydrophobic ester using a hydrolase enzyme, said process comprising the steps of:

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(a) dispersing an enantiomeric mixture of said hydrophobic ester at a concentration of between about 1 and about 25% (weight/volume of the non-homogeneous system), in an organic solvent system to produce an organic component;

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(b) providing an aqueous solvent system to produce an aqueous component; and

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20 (c) contacting said organic component and said aqueous component to form a non-homogeneous system, under conditions which permit the enantioselective conversion of one enantiomeric form of said enantiomeric mixture to the corresponding alcohol; and

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wherein said hydrolase enzyme is dispersed in either said organic component, said aqueous component or said non-homogeneous system.

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10 3. A process for producing a chiral, non-racemic ester of 2-butyryloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-oxathiolane using a hydrolase enzyme, said process comprising the steps of:

- 15 5 (a) dispersing an enantiomeric mixture of said 2-butyryloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-oxathiolane at a concentration of between about 1 and about 25% (weight/volume of the non-homogeneous system), in an organic solvent system to produce an  
20 10 organic component;  
(b) providing an aqueous solvent system to produce an aqueous component; and  
(c) contacting said organic component and  
25 15 said aqueous component to form a non-homogeneous system, under conditions which permit the enantioselective conversion of one enantiomeric form of said enantiomeric mixture to the corresponding alcohol;  
30 wherein said hydrolase enzyme is dispersed in either said organic component, said  
20 aqueous component or said non-homogeneous system; and  
wherein the concentration of said  
35 enantiomeric mixture is calculated based on the volume of said non-homogeneous system.

40 4. A process for producing a chiral, non-racemic ester of 2-butyryloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-oxathiolane using a hydrolase enzyme, said process comprising the steps of:

- 45 30 (a) dispersing an enantiomeric mixture of said 2-butyryloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-oxathiolane at a concentration of between about 1 and about 25% (weight/volume of the non-homogeneous system), in an organic solvent system to produce an organic component;  
50 (b) providing an aqueous solvent system to  
35 produce an aqueous component; and

10 (c) contacting said organic component and  
said aqueous component to form a non-homogeneous  
system, under conditions which permit the  
enantioselective conversion of one enantiomeric form of  
5 said enantiomeric mixture to the corresponding alcohol;  
15 wherein said hydrolase enzyme is  
dispersed in either said organic component, said  
aqueous component or said non-homogeneous system;  
wherein said organic component comprises  
10 between about 5 and about 90% of said non-homogeneous  
system;  
wherein said non-homogeneous system also  
comprises between about 1 and about 20% of surfactant;  
and  
25 15 wherein said surfactant concentration is  
calculated based on the volume of said non-homogeneous  
system.

30 5. The process according to any one of  
claims 1, 2, 3 or 4, wherein said hydrolase enzyme is  
20 selected from the group consisting of porcine liver  
esterase, porcine pancreatic lipase, *Pseudomonas*  
35 species lipase, *Aspergillus niger* lipase and  
subtilisin.

40 6. The process according to claim 5,  
25 wherein said hydrolase enzyme is a crosslinked enzyme  
crystal.

45 7. The process according to claim 6,  
wherein said crosslinked enzyme crystal is crosslinked  
with glutaraldehyde.

50 8. The process according to claim 5,  
30 wherein said hydrolase enzyme is an immobilized enzyme.

9. The process according to claim 5,  
wherein said hydrolase enzyme is a soluble enzyme.

10. The process according to claim 5,  
wherein said hydrolase enzyme is porcine liver  
5 esterase.

11. The process according to any one of  
claims 1, 2, 3 or 4, wherein said chiral non-racemic  
ester is isolated from said organic component.

12. The process according to any one of  
10 claims 1, 2, 3 or 4, wherein said chiral non-racemic  
alcohol is isolated from said aqueous component.

13. The process according to any one of  
claims 1 or 2, wherein said enantiomeric mixture is FTC  
butyrate.

14. The process according to claim 2,  
wherein said enantiomeric mixture comprises 2-  
butyryloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-  
oxathiolane.

15. The process according to any one of  
20 claims 1, 2, 3 or 4, wherein said enantiomeric mixture  
is dispersed in said organic component to a  
concentration of between about 5% to about 15%.

16. The process according to any one of  
claims 1, 2, 3 or 4, wherein said enantiomeric mixture  
25 is dispersed in said organic component to a  
concentration of between about 1% to about 5%.

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17. The process according to any one of claims 1 or 2, wherein said enantiomeric mixture is dispersed in said organic component to a concentration of between about 10% to about 20%.

18. The process according to any one of claims 1, 2, 3 or 4, wherein said organic component comprises a not more than about 50% water miscible organic solvent.

19. The process according to claim 18, wherein said organic component comprises one or more solvents selected from the group consisting of C<sub>1</sub>-C<sub>8</sub> alcohols, nitromethane, dichloromethane, toluene, methyl isobutyl ketone, tert-butyl acetate and alkanes.

20. The process according to claim 19, wherein said organic component comprises one or both of n-amyl alcohol and 3-methyl-3-pentanol.

21. The process according to claim 4, wherein said surfactant is selected from the group consisting of cationic surfactants, anionic surfactants and non-ionic surfactants.

22. The process according to claim 21, wherein said surfactant is selected from the group consisting of Tween 20™, Tween 80™, Prionex™, Teepol HB7™, Tergitol TMN-6™, Tergitol TMN-10™, Tergitol NP-4™, Tergitol 15-S-3™, Igepal CA-630™, Tyloxapol™, Glucode-oxycholic acid, octyl β-gluco-pyranoside, dioctyl sulfosuccinate, and deoxycholic acid.

23. The process according to claim 22, wherein said surfactant is Tween-80™.

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24. The process according to claim 22,  
wherein said surfactant is dioctyl sulfosuccinate.

25. The process according to claim 4,  
wherein said surfactant is added to said organic  
component.

26. The process according to claim 4,  
wherein said surfactant is added to said aqueous  
component.

27. The process according to claim 4,  
wherein said surfactant is added to said non-  
homogeneous system.

28. The process according to claim 4,  
wherein said surfactant is formulated with said  
hydrolase enzyme.

29. The process according to any one of  
claims 1, 2, 3 or 4, wherein said aqueous solvent  
system comprises water and excipients selected from the  
group consisting of buffering salts, alkalizing agents,  
anti-microbial preservatives, stabilizers, filtering  
aids, co-enzymes, excipients that facilitate dispersion  
and excipients that facilitate function of the enzyme.

30. The process according to claim 29,  
wherein said aqueous solvent system comprises water  
buffered with phosphate buffer at a pH of greater than  
about 7.

31. The process according to claim 29,  
wherein said aqueous solvent system comprises water  
buffered with 2-amino-2-(hydroxymethyl)-1,3-propanediol  
or TRIS™.

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32. The process according to any one of claims 1, 2, 3 or 4, wherein said conditions which permit the enantioselective conversion of one enantiomeric form of said enantiomeric mixture to the corresponding alcohol comprise a temperature of between about 5°C and about 45°C.

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33. A non-homogeneous system for producing a chiral, non-racemic hydrophobic ester using a hydrolase enzyme, comprising:

- (a) a hydrolase enzyme;
- (b) a hydrophobic ester substrate;
- (c) an organic component; and
- (d) an aqueous component.

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34. The non-homogeneous system according to claim 33, wherein said hydrolase enzyme is selected from the group consisting of porcine liver esterase, porcine pancreatic lipase, *Pseudomonas species* lipase, *Aspergillus niger* lipase and subtilisin.

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35. The non-homogeneous system according to claim 33, wherein said hydrolase enzyme is a crosslinked enzyme crystal.

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36. The non-homogeneous system according to claim 35, wherein said crosslinked enzyme crystal is crosslinked with glutaraldehyde.

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37. The non-homogeneous system according to claim 33, wherein said hydrolase enzyme is an immobilized enzyme.

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38. The non-homogeneous system according to claim 33, wherein said hydrolase enzyme is a soluble enzyme.

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10 39. The non-homogeneous system according to  
claim 34, wherein said hydrolase enzyme is porcine  
liver esterase.

15 40. The non-homogeneous system according to  
5 claim 33, wherein said hydrophobic ester substrate is  
an enantiomeric mixture.

20 41. The non-homogeneous system according to  
claim 40, wherein said enantiomeric mixture comprises  
2-butyriloxymethyl-5-(5-fluorocytosin-1-yl)-1,3-  
10 oxathiolane.

25 42. The non-homogeneous system according to  
claim 40, wherein said enantiomeric mixture is  
dispersed in said organic component to a concentration  
of between about 5% to about 15%.

30 43. The non-homogeneous system according to  
15 claim 40, wherein said enantiomeric mixture is  
dispersed in said organic component to a concentration  
of between about 10% to about 20%.

35 44. The non-homogeneous system according to  
20 claim 40, wherein said enantiomeric mixture is  
dispersed in said organic component to a concentration  
of between about 1% to about 5%.

40 45. The non-homogeneous system according to  
claim 33, wherein said organic component comprises a  
25 not more than about 50% water miscible organic solvent.

45 46. The non-homogeneous system according to  
claim 45, wherein said not more than about 50% water  
miscible organic solvent comprises one or more solvents  
50 selected from the group consisting of C<sub>4</sub>-C<sub>8</sub> alcohols,

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nitromethane, dichloromethane, toluene, methyl isobutyl ketone, tert-butyl acetate and alkanes.

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47. The non-homogeneous system according to claim 46, wherein said organic component comprises one or both of n-amyl alcohol and 3-methyl-3-pentanol.

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48. The non-homogeneous system according to claim 33, further comprising a surfactant.

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49. The non-homogeneous system according to claim 48, wherein said surfactant is selected from the group consisting of cationic surfactants, anionic surfactants and non-ionic surfactants.

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50. The non-homogeneous system according to claim 49, wherein said surfactant is selected from the group consisting of Tween 20<sup>™</sup>, Tween 80<sup>™</sup>, Prionex<sup>™</sup>, Teepol HB7<sup>™</sup>, Tergitol TMN-6<sup>™</sup>, Tergitol TMN-10<sup>™</sup>, Tergitol NP-4<sup>™</sup>, Tergitol 15-S-3<sup>™</sup>, Igepal CA-630<sup>™</sup>, Tyloxapol<sup>™</sup>, Glucode-oxycholic acid, octyl  $\beta$ -glucopyranoside, dioctyl sulfosuccinate, or deoxycholic acid.

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51. The non-homogeneous system according to claim 50, wherein said surfactant is Tween-80<sup>™</sup>.

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52. The non-homogeneous system according to claim 50, wherein said surfactant is dioctyl sulfosuccinate.

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53. The non-homogeneous system according to claim 48, wherein said organic component comprises said surfactant.

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54. The non-homogeneous system according to claim 48, wherein said aqueous component comprises said surfactant.

55. The non-homogeneous system according to claim 48, wherein said surfactant is formulated with said hydrolase enzyme.

56. The non-homogeneous system according to claim 33, wherein said aqueous solvent system comprises water and excipients selected from the group consisting of buffering salts, alkalizing agents, anti-microbial preservatives, stabilizers, filtering aids, co-enzymes, excipients that facilitate dispersion and excipients that facilitate function of the enzyme.

57. The non-homogeneous system according to claim 33, wherein said aqueous solvent system comprises water buffered with phosphate buffer at a pH of greater than about 7.

58. The non-homogeneous system according to claim 33, wherein said aqueous component comprises water buffered with 2-amino-2-(hydroxymethyl)-1,3-propanediol (TRIS™) at a pH of greater than about 7.

59. The non-homogeneous system according to claim 33, wherein said organic component and said aqueous component are contacted under conditions which permit the enantioselective conversion of one enantiomeric form of said enantiomeric mixture to the corresponding alcohol.

60. The non-homogeneous system according to claim 59, wherein said organic component and said aqueous component are contacted under conditions which permit the enantioselective conversion of one

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- 56 -

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enantiomeric form of said enantiomeric mixture to the  
corresponding alcohol, comprise a temperature of  
between about 5°C and about 45°C.

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